



**Workshop Report: Modeling the Molecular Mechanism of Bacterial Spore Germination and Elucidating Reasons for Germination Heterogeneity.**

Journal:	<i>Journal of Food Science</i>
Manuscript ID:	JFS-2009-0384
Section:	3 Concise Reviews and Hypotheses in Food Science
Date Submitted by the Author:	29-Apr-2009
Complete List of Authors:	Indest, Karl; US Army Engineer Research and Development Center, Environmental Processes Branch Buchholz, Wallace; U.S. Army Research Office, Life Sciences Division Faeder, Jim; University of Pittsburgh School of Medicine, Department of Computational Biology setlow, peter; university of connecticut health center, molecular, microbial and structural biology
Keywords:	bacterial spores, modeling, microbial survival



April 28, 2009,

Dr. Daryl B. Lund, Scientific Editor,  
Journal of Food Science,  
Institute of Food Technologists,  
525 W. Van Buren, Ste. 1000,  
Chicago, IL 60607.

Dr. Lund,

Please accept the following revised manuscript by Indest and others entitled, "Workshop Report: Modeling the Molecular Mechanism of Bacterial Spore Germination and Elucidating Reasons for Germination Heterogeneity", for consideration of publication in the *Journal of Food Science*.

On February 5 and 6, 2008, a total of 29 individuals from academia, including participants from the United Kingdom and the Netherlands, and the Department of Defense gathered in Key West, Florida for an Army sponsored workshop. Top researchers in the fields of spore biology and computational biology interacted over the course of two days, identifying biological and mathematical data gaps as well as experimental approaches and alternative computational strategies appropriate for modeling spore germination. Outcomes from this workshop are summarized in the attached manuscript.

Sincerely,

Karl Indest, PhD  
Research Microbiologist  
U.S Army Engineer Research and Development Center  
3909 Halls Ferry Road  
Vicksburg, MS 39180  
Phone: 601-634-2366  
Fax: 601-634-4002  
Email: [indestk@wes.army.mil](mailto:indestk@wes.army.mil)

1

2 Title: Workshop Report: Modeling the Molecular Mechanism of Bacterial Spore  
3 Germination and Elucidating Reasons for Germination Heterogeneity.

4

5 Subtitle: Top researchers in the fields of bacterial spore biology and  
6 computational biology interacted over the course of two days, identifying  
7 biological and mathematical data gaps as well as experimental approaches and  
8 computational strategies appropriate for modeling the molecular mechanism of  
9 spore germination and elucidating causes of germination heterogeneity.

10

11 Authors: Karl J. Indest, Wallace G. Buchholz, Jim R. Faeder, and Peter Setlow.

12

13 Author Indest is a research microbiologist, Environmental Processes Branch,  
14 U.S. Army Engineer Research and Development Center, 3909 Halls Ferry Road,  
15 Vicksburg, MS 39180. Author Buchholz is a program manager in the Life  
16 Sciences Division, U.S. Army Research Office, 4300 S. Miami Blvd, Durham, NC  
17 27703. Author Faeder is Associate Professor, Dept. Computational Biology,  
18 University of Pittsburgh School of Medicine, Pittsburgh, PA 15260. Author Setlow  
19 is Professor, Dept. Molecular, Microbial and Structural Biology, University of  
20 Connecticut Health Center, 263 Farmington Avenue, Farmington, CT 06030.  
21 Send reprint requests to Author Buchholz.

22

23 Author Indest: Telephone: (601) 634 2366, Fax: (601)-634-4002, email:  
24 [indestk@wes.army.mil](mailto:indestk@wes.army.mil). Author Buchholz: Telephone: (919) 549-4230, Fax: (919)  
25 549-4310, email: [wallace.buchholz@us.army.mil](mailto:wallace.buchholz@us.army.mil). Author Faeder: Telephone:  
26 (412) 648-8171, Fax: (412) 648-3163, email: [faeder@pitt.edu](mailto:faeder@pitt.edu). Author Setlow:  
27 Telephone: (860) 679-2607, Fax: (860) 679-3408, email: [setlow@nso2.uchc.edu](mailto:setlow@nso2.uchc.edu).

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46           On February 5 and 6, 2008, 28 individuals from academia, including  
47 participants from the United States, the United Kingdom and the Netherlands,  
48 and the Department of Defense gathered in Key West, Florida for an Army  
49 sponsored workshop. Top scientists in the fields of *Bacillus* and *Clostridium*  
50 spore biology and computational biology interacted over the course of two days  
51 to address one of the major remaining questions in bacterial spore biology: **Why**  
52 **is there heterogeneity in the germination rates of individual bacterial**  
53 **spores within a population?** Goals of the workshop were to: 1) attempt to  
54 answer the major question posed above using available data; 2) if 1) was not  
55 possible, propose further experimental work to obtain data that will allow a  
56 definitive answer to this question; and 3) examine available data on the  
57 molecular mechanism of spore germination to determine: a) if these data are  
58 sufficient to allow generation of a predictive model of the molecular mechanism  
59 of spore germination; and b) if a) is not currently possible, determine what  
60 additional data would be required to effectively construct such a model. It must  
61 be emphasized that the focus of discussions about generation of models of spore  
62 germination was on generating a model of the molecular mechanism of spore  
63 germination that would have predictive value. There have been a number of  
64 models constructed to analyze the kinetics of spore germination and subsequent  
65 cell growth, often in food matrices (for examples see Barker et al., 2005; Collado  
66 et al., 2006; Smith-Simpson and Schaffner, 2005; Zhao et al., 2003). However,  
67 there have been few attempts to construct predictive models of the molecular  
68 mechanism of spore germination, and the most notable of these (Woese et al.,

69 1968) was developed more than 40 years ago when almost nothing was known  
70 about components of the spore germination apparatus.

71 At the beginning of the workshop, three keynote addresses were  
72 presented to establish a starting point for discussions. Karl Indest presented an  
73 overview of the Army's interest in spore germination; Peter Setlow gave a  
74 concise but in-depth summary of the current state of knowledge of spore  
75 germination, focusing on spores of *Bacillus* species; and Jeremy Edwards  
76 concluded with a summary of the current state of computational modeling of  
77 biochemical systems. Keynote addresses were followed by a discussion session  
78 where participants were split into breakout groups containing equal numbers of  
79 spore biologists and modelers to address specific tasks. The results of the  
80 breakout discussions were presented to the group as a whole for discussion.  
81 New issues that arose from discussions were addressed again in the breakout  
82 groups and the process was repeated.

83

#### 84 **Relevance of Bacterial Spore Germination**

85 Bacterial spores of *Bacillus* and *Clostridium* species are ubiquitous in the  
86 environment, formed from vegetative cells through a process known as  
87 sporulation when conditions for growth are unfavorable (Piggot and Hilbert, 2004;  
88 Setlow and Johnson, 2007). Spores are metabolically dormant and resistant to a  
89 wide range of environmental conditions including heat, radiation, desiccation, pH  
90 extremes and toxic chemicals (Setlow, 2006). There are a variety of reasons for  
91 the extreme spore resistance, most related to the structure of the spore (Fig. 1),

92 which is quite different from that of growing cells. Probably the most unusual  
93 aspect of spore structure is the extremely low water content of the spore's central  
94 region or core. While growing cells have ~80% of their wet wt as water, the core  
95 of dormant spores suspended in water may have as little as 25-30% of wet wt as  
96 water, while the remainder of the spore has the more normal high water content  
97 (Gerhardt and Marquis, 1989). The low core water content is undoubtedly the  
98 reason for the high resistance of dormant spores to wet heat, as well as spores'  
99 extreme dormancy (Cowan et al., 2003; Gerhardt and marquis, 1989; Setlow,  
100 2006; Setlow and Johnson, 2007). However, despite their dormancy, spores can  
101 respond to favorable environmental conditions and rapidly transform into  
102 metabolically active cells in a process termed germination followed by outgrowth,  
103 and in these processes the spore loses its extreme resistance properties (Moir,  
104 2006; Setlow, 2003; Setlow and Johnson, 2007).

105 Spore germination has attracted significant interest, at least in part  
106 because of the impact spores have historically played in food spoilage and  
107 disease (Setlow and Johnson, 2007). Consumer demand for milder, more  
108 efficient food sterilization technologies that maintain food product nutritional value  
109 and esthetics has provided new opportunities for food spoilage and food-borne  
110 disease. Unfortunately, relatively harsh processing conditions are most often  
111 necessary to inactivate dormant spores, reflecting the delicate balance between  
112 food preservation and safety.

113 In addition to the threat of food-borne illnesses, spores of specific *Bacillus*  
114 and *Clostridium* species are responsible for a number of serious human diseases

115 including gas gangrene, pseudomembranous colitis, tetanus, botulism, and  
116 anthrax (Fischetti et al., 2000; Setlow and Johnson, 2007). Following the 2001  
117 anthrax spore terrorist attacks in the United States, there has been a renewed  
118 sense of urgency in development of both treatment and decontamination  
119 strategies for spores of *Bacillus anthracis*. Since dormant *B. anthracis* spores can  
120 reside for several months within infected mammals including humans  
121 (Brookmeyer et al., 2003; Heine et al., 2007; Henderson et al., 1956), the ability  
122 to thwart spore germination following exposure could greatly help in preventing  
123 morbidity and mortality.

124         Assessing the threat that spore contaminants pose is a great challenge  
125 due in part to the inability to predict germination outcomes. A potential simple  
126 strategy towards eliminating the threat posed by spores would be to trigger spore  
127 germination and then relatively easily inactivate the less resistant germinated  
128 spores or vegetative cells. However, germination of spore populations is  
129 invariably heterogeneous and almost certainly always incomplete, as a small  
130 percentage of spore populations consist of a very slowly germinating fraction,  
131 often called super-dormant spores (Gould, 1969, 1970; Keynan and Evenchick,  
132 1969). To ensure the effectiveness of spore inactivation and further reduce the  
133 potential of a residual risk of germination, it is essential to determine reasons for  
134 the heterogeneity in germination kinetics between individuals in spore  
135 populations as well as the causes of spore super-dormancy, as such knowledge  
136 may suggest ways to eliminate this problem. It would also be most helpful to  
137 have a good predictive model of the molecular mechanism of bacterial spore

138 germination, since a number of mechanistic aspects of spore germination are  
139 only poorly understood.

140

#### 141 **Status of Our Understanding of Spore Germination**

142 Many aspects of spore formation are, however, relatively well understood,  
143 especially for the model organism *Bacillus subtilis* (Piggot and Hilbert, 2004;  
144 Setlow, 2003; Setlow, 2006; Setlow and Johnson, 2007, and references within).  
145 The most common stimulus for spore formation in nature is probably starvation.  
146 Once formed, the spores are dormant and highly resistant to environmental  
147 stresses. What is truly amazing is that they can remain metabolically inactive for  
148 years, but can “come to life” (germinate) within minutes if presented with  
149 appropriate stimuli termed germinants. In nature it is likely that specific nutrients  
150 such as amino acids or sugars serve as germinants, but nutrient metabolism *per*  
151 *se* is not essential for the triggering of spore germination, as the early events of  
152 germination are thought to be primarily biophysical (Moir, 2006; Setlow, 2003).  
153 Indeed, energy metabolism is not required for germination. For example, recent  
154 work (Shah et al., 2008) has found that peptidoglycan fragments released from  
155 growing cells of the same or similar strain giving rise to spores can trigger  
156 germination. In addition to nutrients, a number of non-nutrients such as cationic  
157 surfactants, high pressure, or in some cases lysozyme can also trigger  
158 germination, as can high concentrations of a 1:1 chelate of Ca<sup>2+</sup> and pyridine-  
159 2,6-dicarboxylic acid (dipicolinic acid (DPA) (Ca-DPA), a major component of the  
160 spores’ or core (Fig. 1). Non-nutrient germination stimuli are not generally

161 encountered by spores other than in the laboratory, however, understanding how  
162 such agents trigger spore germination may provide insight into the molecular  
163 mechanisms involved in spore germination.

164 Most work to date on the molecular mechanism of spore germination has  
165 been with spores of *Bacillus* species, primarily *B. subtilis* (Setlow, 2003),  
166 although there has been recent work on the molecular details of the germination  
167 of *Clostridium perfringens* spores (Paredes-Sabja et al., 2008a,b, 2009 a,b).  
168 Discussion at the workshop focused primarily on the germination of spores of  
169 *Bacillus* species. Germination of spores of *Bacillus* species proceeds in two  
170 Stages, I and II (Fig. 2), and involves the action of a number of critical  
171 components including germinant permeation proteins, germinant receptors,  
172 channel proteins and cortex lytic enzymes (CLEs) and perhaps monovalent  
173 cation antiporters (Behravan et al., 2000; Heffron et al., 2009; Senior and Moir,  
174 2007; Southworth et al., 2001; Setlow, 2003; Setlow et al., 2009). Permeation  
175 proteins facilitate movement of nutrient germinants through the spore's outer  
176 layers, most importantly the coats (Fig. 1). Spores then sense nutrient  
177 germinants by germinant receptors located in the inner membrane (Hudson et  
178 al., 2001; Paidhungat and Setlow, 2001). The germinant receptors are composed  
179 of three different subunits, each of which is essential for receptor function, and  
180 spores of *Bacillus* species have 3-7 different germinant receptors each of which  
181 have different and exquisite nutrient specificity (e.g., L-alanine is recognized  
182 whereas D-alanine is not). While some individual germinant receptors can  
183 trigger germination when their nutrient ligand binds, other receptors cooperate

184 somehow in responding to mixtures of nutrients (Atluri et al., 2007; Setlow,  
185 2003). Levels of the germinant receptors appear to be low, averaging tens of  
186 molecules per spore (Paidhungat and Setlow 2001), raising the possibility that  
187 some individuals in spore populations may have few if any receptors. Strikingly,  
188 *B. subtilis* spores that lack all functional germinant receptors germinate extremely  
189 poorly with nutrient germinants but exhibit relatively normal germination with non-  
190 nutrient germinants, as well as a low level of “spontaneous” germination  
191 (Paidhungat and Setlow, 2000; Setlow, 2003). Spores of *Bacillus* species, but  
192 not *Clostridium* species, have an additional protein, GerD, which appears to be  
193 essential for germination with nutrient germinants (Setlow, 2003; Pelczar et al.,  
194 2007), although the precise function of this protein is not known

195       Within minutes of exposure of spores to nutrient germinants, the core’s  
196 large depot (15-25% of dry wt) of Ca-DPA is released from most spores along  
197 with other small molecules (Setlow, 2003; Setlow et al., 2008). Small molecules  
198 released from the core are replaced with water, thus raising the core’s water  
199 content slightly, although not sufficiently to allow either enzyme action or protein  
200 motion (Cowan et al., 2003; Setlow, 2003; Setlow et al., 2009). The timing of  
201 these early events in spore germination, in particular Ca-DPA release, is quite  
202 heterogeneous between individual spores in populations, as has been shown by  
203 a variety of techniques (Chen et al., 2006; Woese et al., 1968), although the  
204 causes of this heterogeneity are not known (see below).

205       Release of Ca-DPA and other small molecules are among the earliest  
206 detectable indicators of germination and are thought to involve channel proteins.

207 While the mechanism of such channels and channel gating are unknown, the Ca-  
208 DPA channels may be composed at least in part of the SpoVA proteins. These  
209 proteins are expressed just prior to Ca-DPA uptake into the developing spore  
210 during sporulation, *spoVA* null mutants do not take up Ca-DPA during  
211 sporulation, and spores of temperature sensitive *spoVA* mutants do not release  
212 Ca-DPA at non-permissive temperatures (Tovar-Rojo et al., 2000; Vepachedu  
213 and Setlow, 2004, 2005). In addition, at least one SpoVA protein is located in the  
214 spore's inner membrane and is present at much higher levels than germinant  
215 receptor proteins.

216 Ca-DPA release and concomitant water uptake complete Stage I of  
217 germination, and these events trigger the onset of Stage II (Heffron et al., 2009;  
218 Setlow 2003; Setlow et al., 2009). The key event in Stage II is hydrolysis of the  
219 spore's peptidoglycan (PG) cortex just outside of the spore's germ cell wall (Fig.  
220 1, 2) by CLEs. The structure of the PG in the cortex has several novel features  
221 not present in vegetative cell or germ cell wall PG. One of these cortex PG -  
222 specific features, muramic acid- $\delta$ -lactam, is the recognition determinant for CLEs,  
223 thus ensuring that only cortex PG and not germ cell wall PG is degraded during  
224 germination, as the germ cell wall becomes the cell wall of the outgrowing spore  
225 (Popham, 2002). Spores of *Bacillus* species contain two redundant CLEs, and  
226 loss of both almost completely eliminates cortex hydrolysis and prevents  
227 completion of germination (Heffron et al., 2009; Setlow, 2003; Setlow et al.,  
228 2009). This results in an enormous decrease in apparent spore viability, although  
229 spores that lack CLEs can be recovered by addition of exogenous lytic enzymes

230 under appropriate conditions. The two CLEs in *Bacillus* spores are CwlJ and  
231 SleB. CwlJ is activated by Ca-DPA either released from the core or supplied  
232 exogenously, but the mechanism of activation of SleB is unknown. Cortex  
233 degradation allows an ~2-fold increase in spore core volume as upon removal of  
234 the restraining cortex PG the core expands due to further water uptake to ~80%  
235 of wet wt, thus completing spore germination. With full core hydration to ~80%  
236 wet wt as water, protein mobility in the core resumes and enzyme activity and  
237 metabolism followed by macromolecular synthesis begin in the core, as the  
238 germinated spore begins the process of outgrowth that ultimately generates a  
239 growing cell (Cowan et al., 2003; Setlow, 2003). In addition to resumption of  
240 enzyme activity and metabolism, the dormant spore's resistance to  
241 environmental stresses is lost either upon completion of Stage II of germination,  
242 or very early in outgrowth (Setlow, 2003, 2006). Consequently, fully germinated  
243 spores are much easier to kill than the starting dormant spores by a variety of  
244 agents.

245

### 246 **Spore Germination Biology: Where are the Knowledge Gaps?**

247 A number of knowledge gaps remaining with respect to the molecular  
248 mechanism of spore germination were identified and discussed in presentations,  
249 general discussion and breakout groups. Since no energy use or metabolism is  
250 needed for spore germination, the events in germination appear to be largely  
251 biophysical. Germination can be potentiated and made more synchronous by a  
252 variety of activation treatments, most usually a sublethal heat treatment (Keynan

253 and Evenchick, 1969). However, the mechanism of spore activation is not known,  
254 although it is reversible. When incubated with nutrient germinants, brief  
255 (seconds) exposure to a germinant commits spores to germinate, and this  
256 commitment is irreversible, although the mechanism of commitment is not  
257 known. There are a number of additional questions about early events in spore  
258 germination, and these include: a) what do germinant receptors do upon binding  
259 of nutrients; b) is there a signal transduction cascade following germinant-  
260 receptor interaction, and what is it; c) how do non-nutrients trigger germination;  
261 and d) do germinant receptors directly transport something, do they signal other  
262 biomolecules to transport something or do they open channels for Ca-DPA and  
263 other small molecules? As noted above, the mechanism of Ca-DPA release and  
264 concurrent water uptake in Stage I of germination is unknown but is presumably  
265 mediated by selective channels. However, the precise composition of such  
266 channels, how they are gated, if and how they interact with germinant receptors,  
267 and whether they are selective are not known. Similarly, not all details of the  
268 regulation of CLE activity, in particular of SleB are known. Given the many  
269 unanswered questions about spore germination, what data are absolutely  
270 required to begin developing a usable predictive model of the molecular  
271 mechanism of spore germination and what data could be approximated? In the  
272 course of the workshop, a large number of experimental approaches were  
273 suggested to allow the collection of key data that would facilitate the  
274 development of such a model of spore germination as discussed below.  
275

## 276 **Workshop Discussions**

277           The central question posed to the workshop was: *What causes*  
278 *heterogeneity in germination of individual spores within a population?* Whereas  
279 most often approximately 95% or more of bacterial spores in populations commit  
280 to germinate within seconds to minutes of exposure to an appropriate germinant,  
281 a small proportion (super-dormant spores!) fail to respond for days, weeks or  
282 months (Gould, 1969, 1970; Keynan and Evenchick 1969). Following the  
283 introductory presentations, discussions followed on what data would be needed  
284 to develop a useful model of the molecular mechanism of spore germination,  
285 which of these data could be approximated, which will need to be determined  
286 experimentally and which might be predicted from early modeling efforts.

287           A group discussion followed the formal presentations in which multiple  
288 factors were identified that could affect heterogeneity of germination including  
289 variability in: 1) spore activation, 2) diffusion of germinant ligands into spores, 3)  
290 activity of permeation proteins, 4) receptor binding of ligands, 5) receptor  
291 numbers, 6) channel protein activation, and 7) CLE activation. Given the central,  
292 and largely not understood role for the germinant receptors in spore germination,  
293 lively discussion ensued about possible approaches to studying these receptors.  
294 Crystal structures of these proteins would be valuable and informative but  
295 attempts to express germinant receptor proteins in *Escherichia coli* have not  
296 been fruitful due to apparent toxicity (G. Christie, unpublished). Cryo-electron  
297 microscopy was put forth as a possible approach but the buried location of the

298 germinant receptors in the inner membrane deep in the spore (Fig. 1) makes that  
299 approach unlikely to succeed.

300 Additional talking points included the asymmetric stoichiometry of  
301 germinant receptor proteins compared to channel proteins, and the need to focus  
302 on comparisons between mutant and wild-type spores and yet how these  
303 comparisons might be misleading. Saturation mutagenesis has been used to  
304 identify germinant receptor mutants but not channel protein mutants. Potential  
305 redundancy or lethality may be masking these latter mutants. Two additional  
306 questions arose in response to the break-out-topic question. The first dealt with  
307 whether or not the non-germinating fraction (super-dormant fraction) of a given  
308 spore population was genetically determined. The suggestion that a fraction  
309 enriched for super-dormant spores, when subjected to a round of germination-  
310 sporulation-germination, maintained a constant germination ratio indicates that  
311 super-dormancy is not genetically determined. However, as a caveat here, it  
312 was acknowledged that super-dormancy has been only very poorly studied and  
313 that standardized methods for isolating large populations of super-dormant  
314 spores have not been reported. Notably, and largely as a result of stimulation  
315 provided by this workshop, super-dormant spores of *Bacillus cereus*, *Bacillus*  
316 *megaterium*, *B. subtilis* have recently been isolated in relatively pure form and  
317 characterized (Ghosh and Setlow, 2009; S. Ghosh and P. Setlow, unpublished).  
318 Further studies of these super-dormant spores may well provide more  
319 information on reasons for their super-dormancy.

320 The question was also posed as to whether the presence of superdormant  
321 spores could be explained by stochastic Markov processes. In particular, is  
322 imperfect sporulation and/or germination the source of germination variation? It  
323 was proposed that this could be experimentally tested by genetically and  
324 physiologically altering these processes and looking for subsequent changes in  
325 germination variation. These discussions concluded on a philosophical note by  
326 asking whether there is any obvious advantage to imperfect germination. It was  
327 rationalized that it may be beneficial for the spore population as a whole to vary  
328 germination rates, since germination of all spores in a population in inappropriate  
329 conditions could deplete limited or transient levels of nutrients and lead to death  
330 of the whole spore population.

331

### 332 **Break-out-group results.**

333 The whole group also broke into three smaller groups each containing  
334 both spore biologists and computer modelers for more in-depth discussions and  
335 to promote closer interactions between biologists and modelers. Interestingly,  
336 upon reassembling the main group for further discussion, many of the same  
337 approaches and questions had been identified by the separate groups. The  
338 general consensus was that it would be desirable to simplify the system, and that  
339 it would be relatively straightforward to experimentally determine whether three of  
340 the seven factors noted above proposed to contribute to germination  
341 heterogeneity actually do so. Diffusion of ligand could be evaluated by looking at  
342 temperature effects on germination kinetics. Ligand diffusion as well as activity

343 of permeation proteins could be evaluated by removal of the spore coat and  
344 subsequent germination studies. Potential heterogeneity of CLE activation could  
345 be determined by correlating the release of Ca-DPA with a change in spore core  
346 water content (core refractility) mediated by the CLEs. If heterogeneity was not  
347 observed in these experiments, factors 4, 5 and 6 (germinant receptor binding,  
348 germinant receptor numbers and channel protein activation) would remain as  
349 potential mediators of germination heterogeneity.

350 A number of questions relating to factors 4-6 above were also put forward  
351 and discussed. Could the lack of an “adequate” number of germinant receptors  
352 be responsible? Is there a germinant receptor-channel protein amplification  
353 mechanism in play? Might activation of the spores (e.g., by heat) shorten the lag  
354 time before germination? If so, this might suggest that positioning or  
355 conformational changes of the germinant receptors, the channel proteins, or both  
356 might enhance “activation”. Would changing, for example the temperature  
357 regime for pre-activation influence the germination frequency or timing? Could  
358 spore core water content be influencing germination? Can germinant  
359 concentrations be limited to slow germination or limit the frequency of  
360 germination? If so, set up an experiment where 2/3 of all spores germinate  
361 immediately, collect those that don't, wash them and then expose them again to  
362 the germinant. Do 2/3 of the remaining spores now germinate immediately?  
363 Other questions included: 1) what triggers “spontaneous” germination; and 2) do  
364 germinating spores produce molecules that prevent or activate the germination of  
365 nearby spores?

366

**367 Computational Modeling of Spore Germination**

368 In order to formulate a mathematical model encompassing the molecular  
369 mechanism of spore germination certain basic features of the process need to be  
370 known or postulated. What factors determine the kinetics of germinant receptor  
371 activation? How is activation of germinant receptors coupled to the activation of  
372 channel proteins? The more detailed and quantitative answers that can be  
373 obtained to assist in formulation of models, the more detailed the predictions that  
374 the resulting model can give. Some specific examples of the kinds of information  
375 that would be useful include detailed biophysical and biochemical  
376 characterization of the processes coupling ligand binding by germinant receptors  
377 to activation of channel proteins, quantitation of the proteomic composition of the  
378 spore's inner and outer membranes, including the number of permeation  
379 proteins, germinant receptors, and channel proteins, and information about the  
380 spatial distribution of germinant receptors in the inner membrane and their  
381 orientation relative to channel proteins and other possible relays.

382 Detailed data such as is described above are necessary for developing  
383 the predictive models that are the ultimate goal of this effort, but preliminary  
384 models based on current information and data may also be highly informative.  
385 For example, more than forty years ago, long before the proteins or biochemical  
386 steps leading to germination had been characterized, Woese et al. (1968)  
387 developed a simplified model of germination kinetics that could explain several  
388 observed properties of germinating populations. In this model, which could serve

389 as a starting point for current efforts to model the molecular mechanism of spore  
390 germination, germination was assumed to arise from accumulation of a  
391 substance,  $P$ , whose rate of production was taken to be proportional to the  
392 number of activated germination “enzymes”, although the identity of such  
393 “enzymes” was not specified. Perhaps these enzymes are equivalent to the  
394 germinant receptors, which had not been identified when this model was  
395 presented. By assuming that a threshold amount of  $P$  was required for  
396 germination, the time for a spore with  $n$  active enzymes to germinate was found  
397 to be  $a/n$ , where  $a$  is a constant. Thus, the model predicts that for small  $n$ , each  
398 step increase in  $n$  leads to a step decrease in the time to germinate, and the  
399 distribution of germination times should exhibit observable jumps, at least if  
400 homogeneity arising from other factors does not obscure such jumps. The  
401 experimental germination time distribution obtained by Woese et al. (1968) did  
402 exhibit these predicted jumps, and by fitting their model to these data they  
403 estimated the average number of active germination “enzymes” per spore to be  
404 in the range of 9-11, which matches reasonably well with current estimates of  $\leq$   
405 25 germinant receptors per spore (Paidhungat and Setlow, 2001).

406 The simple version of the Woese model, which considered only the  
407 production of  $P$ , predicted a finite, relatively short time for all spores to germinate,  
408 except for a tiny fraction of the spores in the distribution that would contain no  
409 germination enzymes (the germinant receptors?). This could not be easily  
410 reconciled even with the limited data that existed at that time, so Woese et al.  
411 (1968) extended their initial model by allowing  $P$  to be degraded at a constant

412 rate, which produces a steady state level of  $P$  that is proportional to the number  
413 of activated germination enzymes (receptors?). If a threshold for  $P$  to trigger  
414 germination is assumed, there will also be a threshold in the number of active  
415 germinant receptors required, which divides the population into germinating and  
416 non-germinating fractions based on the number of enzymes (germinant  
417 receptors?) present in each spore. Based on their data, Woese et al. (1968)  
418 estimated the germination threshold was at about 2-3 germination enzymes per  
419 spore. They also found that the model could account for the observed increase  
420 in the non-germinating fraction at temperatures above the optimal germination  
421 temperature if the degradation rate of  $P$  increased more rapidly as a function of  
422 temperature than the production rate of  $P$ . Interestingly, a similar type of  
423 threshold activation model has recently been proposed to account for the broad  
424 distribution of delay times observed in the induction of apoptosis through extrinsic  
425 signals (Albeck, 2008). In that system, the molecular mechanisms are well-  
426 understood and appear to produce a linearly-accumulating signal that is  
427 proportional to the initial concentrations of several signaling proteins. Just as in  
428 the Woese spore germination model, a molecular “snap action” switching  
429 mechanism appears to rapidly produce irreversible commitment to apoptosis  
430 within a narrow window of the signal threshold.

431

432         The model proposed by Woese et al. (1968) is thus an excellent starting  
433 point for the initial modeling effort because it relates the distribution of  
434 germination times and the non-germinating fraction to relative strength of two

435 terms: a positive signal that is generated by germinant receptor activation and a  
436 resistance that is generated by as of yet unknown mechanisms. Of critical  
437 importance is the ability of the model to relate changes in the balance of these  
438 terms to changes in the kinetics and extent of germination, which allows a direct  
439 connection to be made between measurements of these properties and the  
440 effects predicted by different hypothetical mechanisms. For example, the model  
441 can easily be extended to consider the effect of ligand concentration under non-  
442 saturating conditions and different binding / activation modes can be  
443 investigated. The previous modeling of Woese et al. (1968) suggests that the  
444 germination kinetics may be quite sensitive to different binding models,  
445 increasing the likelihood that the correct model can be identified by comparison  
446 with the data. Some key questions moving forward are as follows. What is the  
447 correct model for binding of ligand to a germinant receptor? How is ligand  
448 binding coupled to germinant receptor activation, and how is germinant receptor  
449 activation coupled to channel protein activation? Does channel protein activation  
450 correspond to the quantity  $P$  in the Woese model? What factors give rise to the  
451 apparent activation threshold for the germination process? Although modeling  
452 can help to answer these questions, the form of the model extensions will have to  
453 be based on further experiments to fill in the biochemical details. Based on the  
454 current state of knowledge, for the foreseeable future mathematical or  
455 computational requirements for the spore germination model will not push the  
456 limits of available methods or resources.  
457

**458 Future Research Directions**

459 The most promising and doable avenues to determine the mechanism(s)  
460 of bacterial spore germination heterogeneity were outlined as follows.

- 461 1. Removal of the spore coat followed by germination studies would  
462 determine whether ligand diffusion or permeation proteins were involved in  
463 germination heterogeneity.
- 464 2. Permeation protein involvement in germination heterogeneity could be  
465 evaluated directly by using deletion or over expression mutants.
- 466 3. Correlation of rates and timing of Ca-DPA release and changes in spore  
467 refractility (water content) would indicate whether CLEs are involved in  
468 germination heterogeneity.
- 469 4. Correlation of over- or under-expression of germinant receptors or  
470 changes in activation temperature with changes in germination patterns  
471 would indicate whether germinant receptors are involved in germination  
472 heterogeneity.
- 473 5. Comparing the spontaneous germination pattern of spores of germinant  
474 receptor mutants with wild-type spores could also indicate whether or not  
475 germinant receptors are involved in germination heterogeneity.
- 476 6. Co-culture of multiple spores in small volumes of germinant and statistical  
477 analyses of the resulting germination would address potential production  
478 of inhibitory substances by germinating spores.

479

480 Towards the goal of developing a predictive model of spore germination, tight  
481 integration between the experimental and modeling efforts should result in a  
482 robust model of the molecular mechanism of spore germination that might be  
483 used in developing sterilization/treatment/decontamination strategies aimed at  
484 mitigating the threats posed by spores. Such an in depth understanding of spore  
485 germination could well allow prevention or alternatively, a controlled initiation, of  
486 the germination process.

487

#### 488 **References**

489 Albeck, J. G., Burke, J. M., Spencer, S. L., Lauffenburger, D. A., and Sorger, P.

490 K. 2008. Modeling a Snap-Action, Variable-Delay Switch Controlling

491 Extrinsic Cell Death. PLoS Biol. 6:e299.

492 Atluri, S. Ragkousi, K., Cortezzo, D. E. and Setlow, P. 2006. Cooperativity

493 between different nutrient receptors in germination of spores of *Bacillus*

494 *subtilis* and reduction in this cooperativity by alterations in the GerB

495 receptor. J. Bacteriol. 188:28-36.

496 Barker, G. C., Malakar, P. K. and Peck, M. W. 2005. Germination and growth

497 from spores: variability and uncertainty in the assessment of food borne

498 hazards. Int. J. Food Microbiol. 100:67-76.

499 Behravan J., Chirakkal, H., Masson, A. and Moir, A. 2000. Mutations in the *gerP*

500 locus of *Bacillus subtilis* and *Bacillus cereus* affect access of germinants to

501 their targets in spores. J. Bacteriol. 182:1987-1994.

- 502 Brookmeyer, R., Johnson, E. and Bollinger, R. 2003. Modeling the optimum  
503 duration of antibiotic prophylaxis in an anthrax outbreak. Proc. Natl. Acad.  
504 Sci. USA 100:10129-10132.
- 505 Chen, D., Huang, S. S and Li, Y. Q. 2006. Real-time detection of kinetic  
506 germination and heterogeneity of single *Bacillus* spores by laser tweezers  
507 Raman spectroscopy. Anal. Chem. 78: 6936-6941.
- 508 Collado, J, Fernández, A., Rodrigo, M and Martínez, A. 2006. Modelling the  
509 effect of a heat shock and germinant concentration on spore germination of  
510 a wild strain of *Bacillus cereus*. Int. J. Food Microbiol. 106:85-89.
- 511 Cowan, A. E., Koppel, D. E., Setlow, B. and Setlow, P. 2003. A soluble protein is  
512 immobile in dormant spores of *Bacillus subtilis* but is mobile in germinated  
513 spores: implications for spore dormancy. Proc. Natl. Acad. Sci. USA  
514 100:4209-4214.
- 515 Fischetti, V. A., Feretti, J. J., Novick, R. P., Portnoy, D. A. and Rood, J. I. 2000.  
516 Gram-positive pathogens. ASM press, Washington, DC.
- 517 Gerhardt, P., and Marquis, R. E. 1989. Spore thermoresistance mechanisms. In:  
518 Smith, I, Slepecky, R, Setlow, P, editors. Regulation of Prokaryotic  
519 Development, Washington, DC: American Society for Microbiology. p 43-64.
- 520 Ghosh, S. and Setlow, P. 2009. Isolation and characterization of superdormant  
521 spores of *Bacillus* species. J. Bacteriol. 191:1787-1797.

- 522 Gould, G.W. 1969. Germination. In: Gould, GW, Hurst, A, editors. The Bacterial  
523 Spore, London, UK: Academic Press. p 397-444.
- 524 Gould, G. W. 1970. Germination and the problem of dormancy. J. Appl. Bacteriol.  
525 33:34-49.
- 526 Heffron, J. D., Orsburn, B. and Popham, D.L. 2009. Roles of germination-specific  
527 lytic enzymes CwlJ and SleB in *Bacillus anthracis*. J. Bacteriol. 191:2237-  
528 2247.
- 529 Heine, H. S., Bassett, J., Miller, L., Hartings, J. M., Irvine, B. E., Pitt, M. L., Fritz,  
530 D., Norris, S. L. and Byrne, W. L. 2007. Determination of antibiotic efficacy  
531 against *Bacillus anthracis* in a mouse aerosol challenge model. Antimicrob.  
532 Agents Chemother. 51:1373-1379.
- 533 Henderson, D. W., Peacock, S. and Belton, F.C. 1956. Observations on the  
534 prophylaxis of experimental pulmonary anthrax in the monkey. J. Hyg.  
535 (Lond) 54:28-36.
- 536 Hudson, K. D., Corfe, B. M., Kemp, E. H., Feavers, I. M., Coote, P. J. and Moir,  
537 A. 2001. Localization of GerAA and GerAC germination proteins in the  
538 *Bacillus subtilis* spore. J. Bacteriol. 183:4317-4322.
- 539 Keynan, A. and Evenchick, Z. 1969. Activation. In: Gould, GW, Hurst, A, editors.  
540 The Bacterial Spore, London, UK: Academic Press. p 359-396.
- 541 Moir, A. 2006. How do spores germinate? J. Appl. Microbiol. 101:526-530.

- 542 Paidhungat, M. and Setlow, P. 2000. Role of Ger proteins in nutrient and  
543 nonnutrient triggering of spore germination in *Bacillus subtilis*. J. Bacteriol.  
544 182:2513-2519.
- 545 Paidhungat, M. and Setlow, P. 2001. Localization of a germinant receptor protein  
546 (GerBA) to the inner membrane of *Bacillus subtilis* spores. J. Bacteriol.  
547 183:3982-3990.
- 548 Paredes-Sabja, D., Setlow, B., Setlow, P. and Sarker, M. R. 2008a.  
549 Characterization of *Clostridium perfringens* spores that lack SpoVA proteins  
550 and dipicolinic acid. J. Bacteriol. 190:4648-4659.
- 551 Paredes-Sabja, D., Setlow, P. and Sarker, M. R. 2009a. SleC is essential for  
552 cortex peptidoglycan hydrolysis during germination of spores of the  
553 pathogenic bacterium *Clostridium perfringens*. J. Bacteriol. In press.
- 554 Paredes-Sabja, D., Setlow, P. and Sarker, M. R. 2009b. Role of GerKB in  
555 germination and outgrowth of *Clostridium perfringens* spores. Appl. Environ.  
556 Microbiol. In press.
- 557 Paredes-Sabja, D., Torres, J. A., Setlow, P. and Sarker, M. R. 2008b. *Clostridium*  
558 *perfringens* spore germination: characterization of germinants and their  
559 receptors. J. Bacteriol. 190:1190-1201.
- 560 Pelczar, P. L., Igarashi, T. and Setlow, P. 2007. Role of GerD in germination of  
561 *Bacillus subtilis* spores. J. Bacteriol. 189:1090-1098.

- 562 Piggot, P. J. and Hilbert, D. W. 2004. Sporulation of *Bacillus subtilis*. Curr. Opin.  
563 Microbiol. 7:579-586.
- 564 Pophm, D. L. 2002. Specialized peptidoglycan of the bacterial endospore: the  
565 inner wall of the lockbox. Cell Mol. Life Sci. 59:426-433.
- 566 Senior, A. and Moir, A. 2008. The *Bacillus cereus* GerN and GerT protein  
567 homologs have distinct roles in spore germination and outgrowth. J.  
568 Bacteriol. 190:6148-6152.
- 569 Setlow, B., Wahome, P. G. and Setlow, P. 2008. Release of small molecules  
570 during germination of spores of *Bacillus* species. J. Bacteriol. 190:4759-  
571 4763.
- 572 Setlow, B., Peng, L., Loshon, C. A., Li, Y. Q., Christie, G. and Setlow, P. 2009.  
573 Characterization of the germination of *Bacillus megaterium* spores lacking  
574 enzymes that degrade the spore cortex. J. Appl. Microbiol. In press.
- 575 Setlow, P. 2003. Spore germination. Curr. Opin. Microbiol. 6:550-556.
- 576 Setlow, P. 2006. Spores of *Bacillus subtilis*: their resistance to and killing by  
577 radiation, heat and chemicals. J. Appl. Microbiol. 101:514-525.
- 578 Setlow, P. and Johnson, E. A. 2007. Spores and their significance. In: Doyle, MP,  
579 Beuchat, LR, editors. Food Microbiology: Fundamentals and Frontiers,  
580 Washington, DC: ASM Press. P 35-68.

- 581 Shah, I. M., Laaberki, M. H., Popham, D. L. and Dworkin, J. 2008. A eukaryotic  
582 Ser/Thr kinase signals bacteria to exit dormancy in response to  
583 peptidoglycan fragments. *Cell*. 135:486-496.
- 584 Smith-Simpson, S. and Schaffner, D.W. 2005. Development of a model to predict  
585 growth of *Clostridium perfringens* in cooked beef during cooling. *J. Food*  
586 *Prot.* 68:336-341.
- 587 Southworth, T. W., Guffanti, A. A., Moir, A. and Krulwich, T. A. 2001. GerN, an  
588 endospore germination protein of *Bacillus cereus* is an Na<sup>+</sup>/ H<sup>+</sup>-K<sup>+</sup>  
589 antiporter. *J. Bacteriol.* 183:5896-5903.
- 590 Tovar-Rojo, F., Chander, M., Setlow, B. and Setlow, P. 2002. The products of the  
591 *spoVA* operon are involved in dipicolinic acid uptake into developing spores  
592 of *Bacillus subtilis*. *J. Bacteriol.* 184:584-587.
- 593 Vepachedu, V. R. and Setlow, P. 2004. Analysis of the germination of spores of  
594 *Bacillus subtilis* with temperature sensitive *spo* mutations in the *spoVA*  
595 operon. *FEMS Microbiol. Lett.* 239:71-77.
- 596 Vepachedu, V. R. and Setlow, P. 2005. Localization of SpoVAD to the inner  
597 membrane of spores of *Bacillus subtilis*. *J. Bacteriol.* 187:5677-5682.
- 598 Vepachedu, V. R. and Setlow, P. 2007. Role of SpoVA proteins in release of  
599 dipicolinic acid during germination of *Bacillus subtilis* spores triggered by  
600 dodecylamine and lysozyme. *J. Bacteriol.* 189:1565-1572.

601 Woese, C. R., Vary, J. C. and Halvorson, H. O. 1968. A kinetic model for  
602 bacterial spore germination. Proc. Natl. Acad. Sci. USA 59:869-875.

603 Zhao, L., Montville, T. J. and Schaffner, D. W. 2003. Computer simulation of  
604 *Clostridium botulinum* strain 56A behavior at low spore concentrations.  
605 Appl. Environ. Microbiol. 69:845-851.

### 606 **Acknowledgements**

607 Funding for the Workshop was provided by the Army Research  
608 Laboratory, located in Adelphi, Maryland, and the U.S. Army Engineer Research  
609 and Development Center, located in Vicksburg, Mississippi.

610

### 611 **Figure Legends**

612 Figure 1. Spore structure and location of components of the germination  
613 apparatus (adapted from Setlow, 2006). Note that sizes of all layers are not  
614 necessarily drawn to scale.

615 Figure 2. Events in spore germination (adapted from Setlow, 2003).

616

617

618

619

620

621

622

623

624 *List of attendees:*

625 **Wallace Buchholz**, U.S. Army Research Office; **Leo Parks**, U.S. Army

626 Research Office; **Mimi Strand**, U.S. Army Research Office; **Karl Indest**, U.S.

627 Army Engineer Research and Development Center; **Edward Perkins**, U.S. Army

628 Engineer Research and Development Center; **Christian Sund**, U.S. Army

629 Research Laboratory; **Maggie Hurley**, U.S. Army Research Laboratory; **Nathan**

630 **Fisher**, U.S. Army Medical Department; **Peter Setlow**, University of Connecticut

631 Health Center; **David Popham**, Virginia Tech; **Philip Hanna**, University of

632 Michigan Medical School; **Yong-Qing Li**, East Carolina University; **Mahfuz**

633 **Sarker**, Oregon State University; **Jim Faeder**, University of Pittsburg Medical

634 School; **Christopher Rao**, University of Illinois at Urbana-Champaign; **Ranja**

635 **Srivastava**, University of Connecticut; **Prasad Dhurjati**, University of Delaware;

636 **Krishnan Radhakrishnan**, University of New Mexico; **William Hlavacek**, Los

637 Alamos National Laboratory; **Jeremy Edwards**, University of New Mexico; **Anne**

638 **Moir**, University of Sheffield UK; **Luc Hornstra**, Wageningen, The Netherlands;

639 **Graham Christie**, University of Cambridge UK; **Grahame Gould**, University of

640 Leeds UK; **Robert Kokoska**, U.S. Army Research Office; **Christopher Doona**,

641 U.S. Army Natick Soldier Center; **Adam Halasz**, University of Pennsylvania; **Matt**

642 **Eby**, Tydall Air Force Base.

643

## Spore Structure

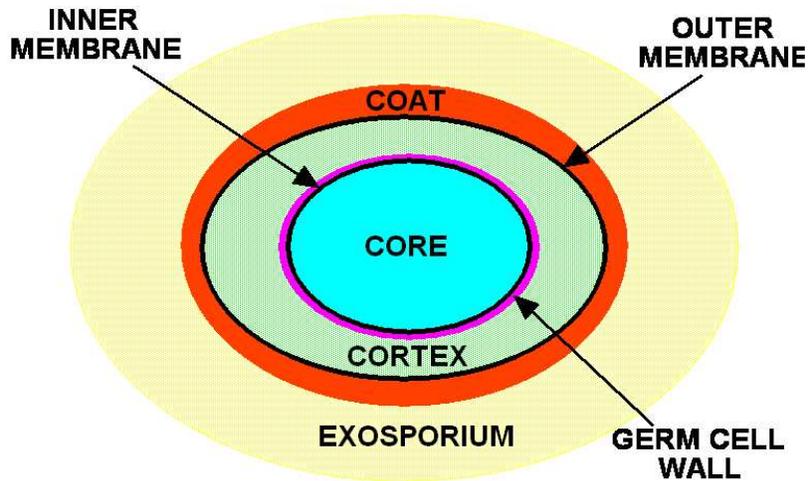


Figure 1. Spore structure and location of components of the germination apparatus (adapted from Setlow, 2006). Note that sizes of all layers are not necessarily drawn to scale  
254x190mm (96 x 96 DPI)

# SPORE GERMINATION

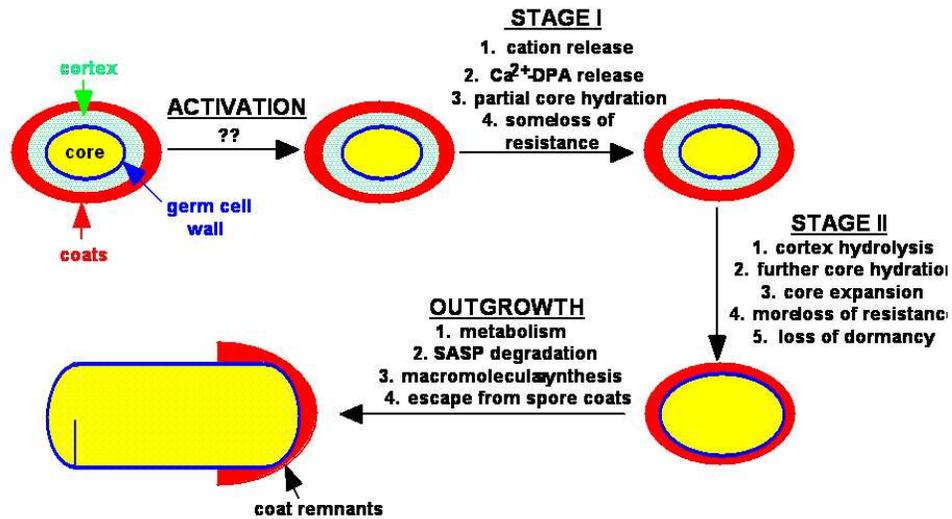


Figure 2. Events in spore germination (adapted from Setlow, 2003).  
254x190mm (96 x 96 DPI)